

12-10-01

A

Please type a plus sign (+) inside this box ☐

Attorney Docket P0927C2

PATENT

12/07/01
J1060 U.S. PTO

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

EL 872 101 782 US: Express Mail Number

December 7, 2001: Date of Deposit

Anna Kan

J1060 U.S. PTO
12/07/01
10/010245

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner of Patents
Washington, D.C. 20231

NON-PROVISIONAL APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)

Transmitted herewith for filing is a non-provisional patent application:

Inventor(s) (or Application "Identifier"):

Paul J. Carter, San Francisco, California
Leonard G. Presta, San Francisco, California
John B. Ridgway, San Francisco, California

Title: **A METHOD FOR MAKING HETEROMULTIMERIC POLYPEPTIDES****1. Type of Application**

- ☐ This application is for an original, non-provisional application.
- ☐ This is a non-provisional application claiming priority to provisional application no. __, filed __, the entire disclosure of which is hereby incorporated by reference.
- ☒ This is a ☐ continuation-in-part ☒ continuation ☐ divisional application claiming priority to application Serial Number 08/974,183, filed November 19, 1997, which is a continuation of application Serial Number 08/399,106 filed March 1, 1995 (now U.S. Patent 5,731,168 issued March 24, 1998) the entire disclosure of which is hereby incorporated by reference.

2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Non-provisional)

64 pages of specification
5 pages of claims
1 page(s) of abstract
11 sheet(s) of drawings
☒ formal ☐ informal
(Figure 9 is a color drawing and is provide in triplicate.)

10010245-1

3. Declaration or Oath

(for new and CIP applications; also for Cont./Div. where inventor(s) are being added)

☐ An executed declaration of the inventor(s) ☐ is enclosed ☐ will follow.

(for Cont./Div. where inventorship is the same or inventor(s) being deleted)

☒ A copy of the executed declaration/oath filed in the prior application is enclosed (37 CFR 1.63(d)).

(for Cont./Div. where inventor(s) being deleted)

☐ A signed statement is attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).

4. Assignment

(for new and CIP applications)

☐ An Assignment of the invention to GENENTECH, INC. ☐ is enclosed with attached Recordation Form Cover Sheet ☐ will follow.

(for cont./div.)

☒ The prior application is assigned of record to Genentech, Inc.

5. Amendments (for continuation and divisional applications)

☐ Cancel in this application original claims ___ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

6. Payment of Fees

☒ Applicants request deferral of payment of the filing fee until submission of the missing parts of application. **DO NOT CHARGE THE FILING FEE AT THIS TIME.**

7. Additional Papers Enclosed

☒ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 without citations.

☐ Submission of "Sequence Listing", computer readable copy, certificate re: sequence listing, and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.

☐ A new Power of Attorney or authorization of agent.

☐ Other:

8. **Maintenance of Copendency of Prior Application** (for continuation and divisional applications)

*[This item **must** be completed and the necessary papers filed in the prior application if the period set in the prior application has run]*

- ☒ A petition, fee and/or response has been filed to extend the term in the pending prior application until December 8, 2001. (A copy is attached.)
- ☐ A copy of the petition for extension of time in the **prior** application is attached.

9. **Correspondence Address:**

☒ Address all future communications to:

Attn: Wendy M. Lee

Respectfully submitted,
GENENTECH, INC.

Date: December 7, 2001

By: Jarret E. Hasak
Jarret E. Hasak - Reg. No. 28,616
for Wendy M. Lee - Reg. No. 40,378
Telephone No. (650) 225-1994



09157

PATENT TRADEMARK OFFICE

10010345 100701